



FIRM FOUNDATION
WEALTH & RETIREMENT SOLUTIONS

DOCUMENT CHECKLIST

REQUESTED DOCUMENTS: Your advisor can begin planning more effectively when you provide certain documents pertinent to the Client Pathways process. The more insight your advisor can gain regarding your current financial snapshot, the more precise the recommendation becomes. You can be sure that your documents will be strongly safeguarded and remain confidential during and after the analysis and planning periods. If you prefer, photocopies of your documents are acceptable.

CHECKLIST OF REQUESTED DOCUMENTS:

- All personal insurance policies
 - Life insurance policies (for all members of your family, including inforce illustrations)
 - Property & casualty policies (including automobile, homeowners, renters, etc.)
 - Disability Policy (long-term & short-term; may be provided by your employer)
- Investment accounts statements (including 401(k) or 403(b), IRA's, Roth IRA's, individual securities, managed accounts, mutual fund accounts, college funding/minor accounts, etc.)
- Annuity statements (including any variable, fixed, or income annuities)
- Employer-provided group benefits for you and your spouse (if a print-out of specific coverages is available, please include)
- Pension estimates and/or statements (estimates can be requested from HR)
- Social Security projections (online accounts can be created through www.ssa.gov)
- Will and trust documents (if a will or trust has been created)

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** Financial advisor offering investment advisory services through Eagle Strategies, LLC, a registered investment advisor.*

***Registered Representative offering securities through NYLife Securities, LLC, member FINRA/SIPC, a licensed insurance agency.*

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